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BOARD NOTICE

BOARD NOTICE 114 OF 2012 FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

EXEMPTION OF ABSA INSURANCE AND FINANCIAL ADVISERS

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, Absa Insurance and Financial Advisers from section 7(4) of the General Code of Conduct for Authorised Financial Services Providers and Representatives, 2003, as set out in the Schedule.



G E Anderson

Deputy Registrar of Financial Services Providers

SCHEDULE

EXEMPTION OF ABSA INSURANCE AND FINANCIAL ADVISERS

Definitions

1. In the Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002. Any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"Absa Insurance and Financial Advisers" means Absa Insurance and Financial Advisers (Pty) Ltd.

"Code" means the General Code of Conduct for Authorised Financial Services Providers and Representatives, 2003;

Exemption

2. (1) Absa Insurance and Financial Advisers is exempted from section 7(4) of the Code subject to the conditions that it must-
 - (a) furnish all its clients with a statement as contemplated in section 7(4) of the Code by 31 December 2012; and
 - (b) immediately advise the Registrar of any change to the particulars furnished in the exemption application.
- (2) The exemption shall, subject to paragraph 3, be valid until 31 December 2012.

Amendment and withdrawal of exemption and conditions

3. The exemption and conditions mentioned in paragraph 2 are subject to-
 - (a) amendment thereof published by the Registrar by notice in the *Gazette*; and
 - (b) withdrawal in like manner.

Short title

4. This is the Exemption of Absa Insurance and Financial Advisers, 2012, and comes into operation on the date of publication in the *Gazette*.
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